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**KOLKATA METRO RAIL CORPORATION LTD.**  
**(A JOINT VENTURE OF GOVERNMENT OF INDIA AND  
GOVERNMENT OF WEST BENGAL)**

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No.KMRC/CE/S&T/PQ/AFC

DATED 25.01.2011

Dear All,

SUB: Pre-qualification of Tenderers for the Design, Manufacture, Supply, Installation, Testing and Commissioning of Automatic Fare Collection System for KOLKATA E-W METRO RAIL PROJECT and also training of personnel, Tender: AFC-PQ(REV).

Ref: Pre-bid Meeting held on 10.01.2011

KMRCL's clarification to the Queries received from various Applicants along with Tender Addendum No.1 has been displayed in our Website.

It is requested to collect from this office/ download the following files attached herewith

- (i) Clarifications to the Tender queries.
  - (ii) Tender Addendum No.1
2. The revised schedule of submission and opening of Tenders shall be as given below:-
- (a) Venue: Conference Room of KMRCL at HRBC Bhawan (5<sup>th</sup> floor), Munshi Premchand Sarani, Kolkata-70021
  - (b) Last date of submission of Tender – 28.02.2011 (Upto 15.00 hrs)
  - (c) Date and Time of Opening of Tender – 28.02.2011 at 15.30 hrs.
3. "THESE CLARIFICATIONS & TENDER ADDENDUM NO.1 SHALL FORM AN INTEGRAL PART OF THE PQ DOCUMENT AND SHALL HAVE TO BE SUBMITTED ALONG WITH THE ORIGINAL PQ DOCUMENT DULY SIGNED UNDER OFFICE SEAL IN EACH PAGE."

All other terms and conditions of the PQ Document shall remain unchanged.

With regards,

-Sd/-  
(G.G.Biswas)  
Chief Engineer(S&T)/KMRCL  
Kolkata Metro Rail Corporation Limited

Sr.#	Asked by	Volume	Section	Paragraph	pg.	Description	Queries	KMRCL's clarification
1	NIPPON			CONTENTS OF EACH SECTION	Page 27 of 52	Details shall be submitted giving full details of the ownership and control of the applicant (or, in the case of a group, each constituent member).  The following documents should be submitted in respect of each constituent, whether applying individually or as part of a group:  1. Audited accounts for each of the last five full accounting periods together with their Auditor's Certificate. Such accounts shall include the Profit and Loss statement and the Balance Sheet.  2. A financial statement covering the period between the end of the last full accounting period and the end of March 2010. This statement should indicate all significant financial matters subsequent to the end of the last full accounting period. Additionally, the financial statement should indicate any significant off balance sheet liabilities, including contingent liabilities. The financial statement should be signed by the <b>Managing Director or Company Secretary of the respective company.</b>	There is discrepancy between "contents of each section" on page 27 and "Annexure 5: Financial Data" on page 52. Please kindly clarify who will sign for "Financial statement" whether "Managing Director" or "Company Secretary of the respective company or certified chartered accountant".	Full Accounting Period is considered from April to March next year. In case the Accounting Period is followed from January to December of a year, the Applicant must submit Audited Accounts for the balance period ending 31st March 2010, duly signed by the Managing Director or the Company Secretary.
2	STERIA						Site Visit - Please advise when we can visit the site so that we can understand the requirements better for response to the RFP	Site visit will be arranged with the short listed tenderers at tendering stage for the main Works.
3	STERIA						Is an underwriting sufficient to satisfy the requirement that if awarded - we will setup an office at Kolkata for the duration of the project?	PQ Document gives an overview of the scope of work and project requirements. The Applicants are informed beforehand that successful tenderer will be required to set up an office at Kolkata during execution stage. The details in this regard will be given in the Tender Document.
4	STERIA						Does KMRCL have any preference for the technology to be adopted ?	No specific preference for the technology
5	STERIA						Will there be any integration required with the existing Kolkata Metro setup as part of this requirement? If so - please advise the extent to which the integration would be required.	Yes. Integration is required with the existing metro. Please refer to the last bullet point of 1.6.1. The extent of integration is stipulated in this para.
6	STERIA						Will the outcome of this process result in selection of limited vendors/parties to whom the tender is floated out or will the RFP be given to all parties who submit a response?	This process is to Pre qualify Tenderers. Tenders for execution of Works shall be invited from the Pre Qualified tenderers only.
7	SAMSUNG SDS		Part I	1.6.1	12 of 52	1.6.1 The AFC system of E-W Corridor shall be interfaced with the N-S ~	Definition of interface is needed.	The objective of interface is to achieve interoperability with N-S Metro and future operators.
8	SAMSUNG SDS		Part I	1.6.1	12 of 52		If smart ticket shall be required to be made interoperable, KMRCL shall provide all related document before design stage to contractor as per enclosed annexure 1. What all information and documentation will be provided by KMRCL to the contractor for the purpose?	The interface shall be with N_S Metro and /or its AFC vendor. KMRCL will do the necessary co-ordination for this interface to be effective.
9	SAMSUNG SDS		Part I	1.6.1	12 of 52		If the AFC system of the E-W corridor is to be interfaced with N-S corridor, then is there any provision in the current scope of work for CCHS regarding revenue sharing etc?	This is not a PQ related issue.
10	SAMSUNG SDS		Part I	1.7	12 of 52	1.7 Design sub office in Kolkata	What is design sub-office? Please specify it to calculate the cost, if any.	Designer of the successful tenderer for the Works shall have to set up an office at Kolkata in terms of the stipulation of the main tender .
11	SAMSUNG SDS		Part II - Evaluation Criteria	4	21 of 52	4. Assessment	If a foreign company is qualifying all conditions as lead bidder, the local subsidiary company which is a wholly owned by parent company in foreign will also be qualified as a consortium partner (as local company) based on parent company experience and guarantee? Please confirm.	The name and style of a company who meets the Qualifying Criteria can become the Pre-Qualified Tenderer and/or Lead Partner as the case may be, but its fully owned subsidiary companies must independently satisfy all the criteria to become eligible tenderer and/or the Lead Partner.
12	L&T						Considering the long term sustainability and serviceability of the Automatic Fare Collection system for Kolkata Metro, we would request KMRCL to permit an Indian Organization, such as Larsen & Toubro, to become the lead bidder for this tender, supported by Technology Partners, who could be an Indian or a Non-Indian entity.	Lead Partner of a JV/Consortium shall have the requisite qualification and experience as stipulated in Part-II Evaluation Criteria of PQ Tender Document.
13	L&T						Referring our request in Point No. 1 above, we request KMRCL to allow the lead Indian Bidder to choose the Technology Partner with state of the art technology while ensuring back to back service level commitments for the long term durability and serviceability of this AFC project.	Refer to Sr.# 12
14	L&T						The intention of our request is to ensure a smooth operation of the AFC system throughout its project life cycle. By ensuring an Indian firm as a lead bidder, KMRCL shall have complete control on that chosen Indian lead bidder, who in turn will be ensuring back- back support from the chosen technology partner.	Refer to Sr.# 12
15	IBM			6.1	17, 18	6.1 Eligibility Criteria - Work Experience	Request Employer to amend the cut-off date under section 6.1 to 31.12.2010 from the present 31.03.2010	Agreed. Clause 6.1 of Part 1- General is revised as given below: Read "31.12.2010" in place of "31.03.2010" for all the sub-clauses a), b), c) and d) of Clause 6.1 of Part 1-General
16	IBM			6.1	17, 18	6.1 Eligibility Criteria - Work Experience "... should have successfully designed, <b>manufactured</b> , ..."	Is it expected that the Gates, TVM manufacturer be part of the consortium or is it sufficient if the consortium has experience in design, supply, install, testing and commissioning of the AFC system including Gates, TVM, etc. The Gates, TVM manufacturer can be a sub-contractor to the consortium and can give an undertaking to supply Gates, TVM etc. to the JV/Consortium as per the GC approved designs.	Experience in manufacturing is required
17	IBM				33	Question 5 " Does your firm ... and/or a manufacturer?"	Here does the words consultant or designer intended to describe only Metro Rail General Consultant or is it intended to describe any consulting activity. Many of the Indian System Integrators having consulting practices, system integration practices etc. under one organization. Request the Employer to elaborate this query and the typical response expected.	It is intended to describe any consultancy activity related to this work
18	IBM				35	Question 8 " MOU/ Joint Venture Agreement"	Is this the Joint Venture Agreement given in Application Form B (page 6) ? Is a separate MOU required in addition to the Joint Venture Agreement?	JV/Consortium Agreement /MOU shall be attached as per Application Form B. No other MOU/Agreement is required to be submitted.

Sr.#	Asked by	Volume	Section	Paragraph	pg.	Description	Queries	KMRCL's clarification
19	IBM				43	"....we are ready to let you inspect our business premises/site, etc..."	Request Employer to clarify on the intent and scope of such inspection. Tenderer is concerned on protecting its clients and its own confidential/proprietary information during such inspection.	The intention is to authorize and allow the Employer to conduct enquiries or investigations to verify the statements, documents and the information submitted in connection with the Application for Pre-Qualification.
20	IBM				52	Bullet 2 "Annual Financial Turnover ... of AFC Works as stipulated herein."	Request Employer to replace word AFC Works with the word Works. This will then be in line with the turnover information requested in Application Form A	Agreed. The second bullet of page 52 of 52 of PQ Document pertaining to Annual Financial Turnover is revised as follows: "Annual Financial Turnover in terms of INR equivalent, adjusted to 31.03.2010 (by assuming 10% escalation for Indian Rupee and 2% for foreign currency per year) pertains to annual construction and/or equipment, goods, or services which apply to this contract. Foreign currency exchange rate as on 31.03.2010 as published by RBI shall be considered for computing equivalent INR." Refer to Addendum 1
21	IBM			Para 6.1, d	18	Should have experience in installation of contactless smart card based AFC system of either of Type "A" or type "C" and should be in commercial operation for a minimum period of 2 (two) years ending 31.03.2010	Request Employer to include contactless smart card AFC system of Type 'B' also as being eligible for prequalification in addition to the existing mentioned Type 'A' and 'C'	Not agreeable
22	IBM			Para 12c, a	36	Implimentation of contactless smart card based Automatic Fare Collection System including automatic flap-type gates, ticket office machines, passenger operated ticket vending machines, ticket readers, station computers and central computer. Experience of implimentation of contactless token based system is desirable.	Is CSC token implementation credentials a pre-requisite for this EOI? Can a bidder qualify they only have experience implementing CSC Single Journey Cards and not CSC Tokens?	The Clause is self explanatory. Please refer " Experience of implimentation of contactless token based system is desirable"
23	IBM			first para	13		Who will be using the Portable Card Reader (CRD) and it purpose?	The travelling ticket inspector will use Portable Card Reader (CRD) to conduct ticket inspection inside the paid area including train.
24	IBM		General				What is the project duration for the AFC works? When is the AFC works likely to commence?	AFC workd shall commence from September 2011 and revenue opening of Phase 1 consisting of 8 stations in Q4, 2013 and entire line in Q4 2014. Details schedule will be provided in the main Tender document.
25	IBM		General				Please confirm number of stations for this E-W Metro line. How many of these are underground and how many elevated	Total 12 stations. 6 under ground stations and 6 Elevated stations.
26	IBM		General				When is the opening date of the E-W Metro line?	Phase 1 consisting of 8 stations in Q4, 2013 and entire line in Q4 2014
27	MITSUI		Tender Notice	Item 7	3 of 52	The applicant's proposal along with tender document, clarifications to queries and addendum, corrigendum (if any) duly ...	Please provide clarifications for the below expressions:  1) Are we to understand that "applicant" indicates applicants for PQ submission and "tenderer" are those who have passed the PQ and are willing to submit a tender?  2) What is the difference between "tender document" and "Pre-qualification document"?  3) What is the definition (difference) for the words "proposal", "offer", "Tender"?	For item No .7 Page 3 of 52 it is clarified as below : 1) "Applicant "and "Tenderer" refers to the applicant for PQ and are the same. 2) "Tender document" and "PQ documents" referes to same document in this para. 3)"Proposal" and "Tender" refers to the offer submitted by the applicant .  It may be noted in some other places "Tender" refers to the main tender being issued to the qualified applicants against this PQ. It shall be interpreted in the context of the content of each para.
28	MITSUI		Tender Notice	Clause 12	4 of 52	Joint Venture/Consortium Joint venture must comply with the following requirements:-	Please confirm that the following modification is valid in case we wish to apply as a consortium.  Joint venture/consortium must comply with the following requirements:-:	Item 12 , page 4 of 52 1st sentence is replaced by the following: "Joint Venture/ <u>Consortium</u> must comply with the following requirements:-" Refer Addendum-1
29	MITSUI		Tender Notice	Item 12 c	4 of 52	Lead partner The lead partner must satisfy the length of time in similar business.	We intend to apply as a consortium with a manufacturer who can individually fulfill the PQ criteria while an engineering company will provide total project management. In such case, please kindly consider the relaxation of this requirement so that such engineering company who may not fully comply to PQ critiera can become the lead partner taking into consideration of their afore-mentioned roll.	No relaxation shall be considered. However for the sake of completeness item 12 a), b), & c) , page 4 of 52- are replaced by the following: "a)The Lead Partner shall meet not less than 40% of Working Capital, Liquidity etc. and Average Annual Turnover criteria b)Other partners shall meet not less than 25% of Working Capital, Liquidity etc. and Average Annual Turnover criteria. c) Each partner of Joint Venture/Consortium must individually satisfy Profitability, Net Worth, Quality Assurance and Length of Time in similar business criteria and shall collectively fulfill other criteria like Organisational and Manufacturing capability."  Refer to Addendum 1
30	MITSUI		Tender Notice	Item 12 e	4 of 52	Tender shall be signed so as to legally bind all partners jointly and severally and bid shall be submitted with a copy of joint venture agreement as in Application Form (A) and (B) attached, providing the joint and several Liabilities with respect to the Contract.	Please clarify whether Application Form (A) and (B) are required to be submitted at PQ stage (2011.02.07) or it is to become necessary at bidding stage. <u>In relation to the above, the submission of a conformed joint venture agreement in the event of tender is stipulated in clause (i) of Part III - PRO FORMA APPLICATION FORM (pg. 30 of 52).</u>	It is confirmed that Application Form (A) and (B) must be attached along with the proposal for Pre-Qualification. Refer to item 1 of Application Form B where the Applicant shall have to declare that they will legalize a JV/Consortium Agreement in case that a Contract is awarded.
31	MITSUI		Joint Venture / Consortium Agreement	Clause 5	6 of 52	that this Joint Venture is constituted for the purpose of the execution of the _____ [name of the Project] under this Contract;	Please confirm that the following modification is valid in case we wish to apply as a consortium.  that this Joint Venture/consortium is constituted for ...	Confirmed. Item 5 of Application Form B has been amended as follows: "that this Joint Venture/Consortium is constituted for the purpose of the execution of the-----[name of the Project] under this Contract" Refer to Addendum 1.
32	MITSUI		Part I - General	1.5	12 of 52	This document describes the pre-qualification application procedure and requirements. International Applicants may be required ... Indian Rupee portion of the bid.	Please clarify if the "transfer of technology" will become a requirement either during the contract stage or after issue of final acceptance certificate. Again, will the willingness of complying to such requirement give effect to the scoring through the evaluation procedures?	The International Applicants may be required to arrange for "Transfer of Technology" during contract stage as would be stipulated in the main Tender Document for execution of the Works. The tenderer shall meet the Eligibility Criteria stated in Clause 6, Part-I-General. The Tenders shall be evaluated according to Part-II Evaluation Criteria of PQ Tender Document.

Sr.#	Asked by	Volume	Section	Paragraph	pg.	Description	Queries	KMRCL's clarification
33	MITSUI		Part I - General	1.6.1	13 of 52	The AFC system of E-W Corridor shall be interfaced with the existing North-South Metro corridor AFC system through a Central Clearing Hosue of Existing North-South Metro enabling multi-operation of fare media at both the metros and future operators.	Please disclose the manufacturer and detailed interface specification of the existing AFC system. Again, please clarify how the interface risk of the two systems are to be treated.	This will be dealt with in the main Tender
34	MITSUI		Part I - General	1.7	13 of 52	The successful Tenderer will be required to have a design sub-office in Kolkata to enable their design development to be monitored and checked by the GC or KMRCL.	Please clarify at what stage the design sub-office must be established and when it is to be closed (after completion of project?).	Details will be given in the main Tender Document inviting Technical and Financial offers from the Pre-Qualified Tenderers for the execution of the Work.
35	MITSUI		Part I - General	1.8	13 of 52	Interested designers and manufacturers, Indian and International, may apply for pre-qualification ... of Pre-qualification Questionnaire Q-28. The non-engineering turnkey management firms may also be members of the joint venture or consortium (Group) along with the designers and manufacturers. However, such non-engineering turnkey management firms can not be a Lead Pertner. Such members along ... experience and capabilities.	We intend to apply as a consortium with a manufacturer who can individually fulfill the PQ criteria while an engineering company will provide total project management. In such case, please kindly consider the relaxation of this requirement so that such engineering company who may not fully comply to PQ critiera can become the lead partner taking into consideration of their afore-mentioned roll.	Lead Partner of the JV/Consortium must comply with all the relevant criteria stipulated in Part II Evaluation Criteria without any relaxation.  Also refer to Sr.No.23
36	MITSUI		Part I - General	3.2	14 of 52	The tender documents to be issued for the main AFC contract will ... requirements for transfer of technology for system supply, assembly, installation, ... undesirable features.	Please clarify if the"transfer of technology" will become a requirement either during the contract stage or after issue of final acceptance certificate. Again, will the willingness of complying to such requirement give effect to the scoring through the evaluation procedures?	Refer to Sr.# 26
37	MITSUI		Part I - General	3.6	15 of 52	The successful tenderer of the AFC System will be required to perform and produce a safety case relating to system. The safety worthiness of the system shall be evaluated by an independent agency and sanction of the competent authority will have to be obtained prior to revenue operations. The contractor should be in a position to provide necessary support (have experts with adequate knowledge and experience, qualification) and submit such documentation as required to assist KMRCL for effective interaction with concerned authorities.	Please clarify when and what kind of contents should be evaluated by what kind of independent agency. Will certification by organizations located in the country of origin of the product be acceptable?	Shall be detailed in the main Tender Document
38	MITSUI		Part I - General	3.7	15 of 52	The system shall be warranted for a period of 2 years from the date of commissioning of the system in full. From each bill an amount of 5% will be deducted for this purpose. Money such deducted will be refunded within 6 (six) months from the completion of the warranty period, subject to all issues regarding warranty are settled. The successful tenderer may be required to maintain the equipments of the AFC systems etc up to 5 years from expiry of warranty period on mutual agreed terms. The successful tenderer shall have to ensure supply of spares during the life time of the equipments.	Please clarify whether the maitenance of the equipment after expiry of warranty period is mandatory or not. What kind of period does KMRCL define as a decent "life time"? Again, will the willingness of complying to such requirement give effect to the scoring through the evaluation procedures?	Shall be detailed in the main Tender Document Also Refer to Sr.# 26
39	MITSUI		Part I - General	5.7	16 of 52	A Group comprising a joint venture, partnership or consortium may apply for pre-qualification. In the case of applications from groups, a statement of participation for each constituent member shall be submitted to the effect that they are bona fide members of the group applying for pre-qualification and that, in the event of pre-qualification, they agree to be jointly and severally liable to KMRCL under any contract which may be subsequently awarded to the group. Details of the existing or proposed joint venture, partnership or consortium agreement in the form of a Memorandum of Understanding (MOU) signed by all participants will be required.	Are we to read that in case we are applying as a consortium, a consortium agreement in the form of a MOU shall be presented at the bidding stage and not at PQ stage? Please clarify. <u>In relation to the above, the submission of a conformed joint venture agreement in the event of tender is stipulated in clause (i) of Part III - PRO FORMA APPLICATION FORM (pg. 30 of 52).</u>	It is confirmed that Consortium Agreement in the form of MOU signed by all the participants shall be submitted along with the PQ proposal. Applicants who are JV or Consortium shall have to legalize the JV/Consortium Agreement at the time of submission of Tender so as to legally bind all partners, jointly and severally. Also refer to Sr.#24
40	MITSUI		Part I - General	5.9	17 of 52	In responding to the pre-qualification submission, ... equipment and financial resources, including:  - Capability to design to the requisite standard and to monitor and control installation work as also testing and commissioning for commercial operation. - Capability to programme and re-programme the design work and the manufacturing work, including that of suppliers and ub-contractors, to monitor progress against programme and to utilise computer programming and monitoring techniques. - Capability to manage, supervise and undertake the design work, the manufacturing, the Supply, installation, testing and commissioning in a manner and to a quality commensurate with internationally accepted norms for the Automatic Fare Collection system. - Capability to undertake and manage and co-ordinate contract interfaces. - Capability to execute the work in time by having adequate resources. - Capability to operate a design and construction quality control programme.  In case the application is from a Joint Venture or consortium, each member of the group should substantially satisfy the pre-qualification requirements for the system for which the Member is participating.	In case of an application as a consortium, we understand that we must <b>collectively</b> fulfill the technical requirements suchlike contents indicated in the applicable clause other than the financial requirements stipulated in clause 12. of the "Tender Notice (page4 of 52)". Please confirm.	Criteria to be fulfilled individually and/ or collectively are clearly stated in Part I. Clause 6 -Eligibility Criteria and in Part II -Evaluation Criteria.  Also refer Sr.# 3 revising the clause 12,a),b) & c)

Sr.#	Asked by	Volume	Section	Paragraph	pg.	Description	Queries	KMRCL's clarification
41	MITSUI		Part II - EVALUATION CRITERIA	T6	25 of 52	T6 Quality Assurance Quality of contractor's workmanship, process and output is of paramount importance for KMRCL. It is necessary that contractor possesses a QC programme ISO-9001 or equivalent and at the minimum follows an Internal written QA Programme. An Applicant will PASS if he or in case of JV/Consortium all the constituent members follows an Internal Quality Assurance Programme and have ISO-9001 certification or otherwise FAIL.	Please consider relaxation of this clause and allow organizations (trading companies) who do not hold the applicable certificate to consist a consortium member based on the condition that a manufacturer who fully complies to the PQ criteria is included.	T 6 Quality Assurance Applicant, individually or in case of JV/Consortium all the constituent members must follow an Internal QA Programme and have ISO 9001 or equivalent Certification to PASS. The last sentence is amended as follows:- "An Applicant will PASS if he or in case of JV/Consortium all the constituent members follow an Internal Quality Assurance Programme and have ISO 9001 or equivalent Certification or otherwise FAIL." Refer to Addendum 1 No relaxation is agreed to
42	MITSUI		Part II - EVALUATION CRITERIA	T7	25 of 52	T7 Length of Time in Similar Business KMRCL believes that a new firm will not have adequate experience and expertise to carry out the time bound contracts, where as a long established firm with experience and good track record in works of similar nature will be both adequately prepared to perform and will be anxious to protect its reputation. This is an important criterion.  The length of time in business is an indication of applicant business stability, reputation and suitability. An Applicant will PASS if he or in case of JV/Consortium, each individual partner possesses experience for similar works for more than five years or otherwise FAIL.	With reference to item 7 of the Initial Filter of Applicants - Checklist of " Part II - Evaluation Criteria"(page 21 of 52), it is stipulated that relevant experience as described shall be collectively fulfilled in case of the application by a consortium while in the "Note" indicated under Table-1(page 25 of 52) it is indicated that each consortium member shall individually comply to having more than 5 years of time in similar business. Please clarify whether companies who do not comply to such requirement cannot consist a consortium member while having a manufacturer who can fully comply to such requirements.	T 7 Length of Time in Similar Business The PASS/FAIL Criteria stipulated under this Clause shall be applicable without any change.
43	MITSUI		CONTENTS OF EACH SECTION / Section no. 2		27 of 52	REMARKS Applicant's attention is drawn to Clause 4 of Instructions to Applicants	Please provide precise clause, sentence and page number which we should refer to since we have difficulty of finding any information related to JV/Consortium, partnership issues.	JV/Consortium issues are given in i) Application Form A & B, page 5 & 6 of 52, ii) Clause 4 of Part I General, page 15-16 of 52; iii)Part III-Proforma Application Form page 29-30 of 52; iv) Proforma Section 2, page 35 of 52
44	MITSUI		CONTENTS OF EACH SECTION / Section no. 5		27 of 52	REMARKS 2. A financial statement covering ... The financial statement should be signed by the Managing Director or Company Secretary of the respective company.	Please confirm that signatures by our auditors are acceptable.	The Report of Internal Auditors shall be counter signed by the Managing Director or Company Secretary
45	MITSUI		CONTENTS OF EACH SECTION / Section no. 5		27 of 52	REMARKS If an applicant (or member of a group) is wholly or significantly owned by a holding or parent companies, the information relating to audited accounts and financial statement (as described above) shall be provided for such intermediate or ultimate holding or parent companies.	Are we to prepare and submit our parent company's annual report together with our PQ application? If YES, shall we provide the latest annual report or for a certain period (ex. past five years, etc.), Again, does KMRCL intend to use such material to confirm the relationship between the subsidiary company (applicant) and the parent company?	Yes.the Applicant is required to submit each of the last five full accounts and financial statements of your parent company together with your PQ submission. The financial information provided other than the period of the last five full accounts will not be accepted. KMRCL require all these information to understand the relationship between the subsidiary company (applicant) and the parent company, and financial capability of your parent company.
46	MITSUI		PROFORMA-SECTION2		35 of 52	Have you enclosed the following?  MOU/Joint Venture agreemnt	With reference to clause (j) of "Part III - Pro Forma Application Form (page 30 of 52), the conformed JV/consortium agreement is instructed to be submitted at tender stage while in item 6. of PROFORMA-SECTION 2 (page 35 of 52) it states that an MOU/JV/Consortium Agreement is to consist the PQ application documents. Please clarify whether the submission of APPLICATION FORM B is required at PQ stage or not.	Refer to Sr.#24 & Sr. # 33
47	MITSUI		Part II - EVALUATION CRITERIA & VERIFICATION STATEMENT		21 of 52 & 42 of 52	Part II - EVALUATION CRITERIA/Initial Filter Applicants - Checklist Applicant and each member of the group (JV Consortium) shall verify, confirm & declare about bankruptcy/insolvency in last <u>ten</u> years i.e. after 1.03.2000.  VERIFICATION STATEMENT Has the Applicant suffered bankruptcy/insolvency in the last <u>five</u> years? (Refer Verification Statement of Pre-qualification QuestionnaireNo.29)	Please confirm that there is a contradiction of time period between Part II - EVALUATION CRITERIA/Initial Filter of Applicants - Checklist/No. 4 and VERIFICATION STATEMENT/29 and kindly make clarification in correct manner (5 years or 10 years?).	Item 4 of Initial Filter of Applicants-Checklist of Part-II Evaluation Criteria shall prevail over Pre-qualification Questionnaire No. 29. The first sentence of PQ Questionnaire No.29 is replaced by the following: "Applicant, individually or in case of JV/Consortium each member of the group shall verify, confirm and declare about bankruptcy/insolvency in last five years i.e. after 31.12.2010" Refer to Addendum 1
48	MITSUI		ANNEXURE-2		46 of 52	NOTE: The applicant or member of a group shall enclose supported completion certificate from the client.	Please confirm that copies (not original) of applicable certificates issued by related clients are also acceptable.	The photocopy of the Certificates issued by the clients duly signed by the tenderer or respective member of JV/ Consortium as the case may be , must be attached. If requires by the Employer, Original Certificate shall be produced for verification.



**KMRC**

**KOLKATA METRO RAIL CORPORATION LIMITED**

(A GOVERNMENT OF INDIA AND GOVERNMENT OF WEST BENGAL JOINT VENTURE)

HRBC BHAWAN,

(4th & 5th floor)

Munshi Prem Chand Sarani

Kolkata-700 021

Phone/Fax: 2213 4350

Tender : AFC-PQ(REV)

Dated: January 11, 2011

**ADDENDUM NO. 1**

<b>S.No.</b>	<b>Reference</b>	<b>As Existing</b>	<b>As revised now</b>
1	Para 12 a),b) and c) of Tender Notice ( Page No. 4)	Joint venture must comply with the following requirements:-:  a) The lead partner shall meet not less than 40% of the financial standing criteria.	Joint venture/Consortium must comply with the following requirements:-:  a) The lead partner shall meet not less than 40% of (i) Working Capital, Liquidity and Banker References and (ii) Average Annual turnover criteria.

S.No.	Reference	As Existing	As revised now
		<p>b) Other partners shall meet not less than 25% of all financial criteria.</p> <p>c) Applicant shall individually or in case of joint venture shall collectively fulfil other criteria like organisational and manufacturing capability. However, each partner of JV / Consortium must individually satisfy profitability and quality assurance. The lead partner must satisfy the length of time in similar business.</p>	<p>b) Other partners shall meet not less than 25% of (i) Working Capital, Liquidity and Banker References and (ii) Average Annual turnover criteria.</p> <p>c) Applicant shall individually or in case of joint venture shall collectively fulfil other criteria like organisational and manufacturing capability. However, each partner of Joint Venture/Consortium must individually satisfy profitability, Networth , Quality Assurance, and Length of Time in similar business criteria.</p>
2	Part I ,General, Item 6, Eligibility Criteria  (Page No. 17)	(a) Minimum 200 gates in the last 5 (five) years ending 31.03.2010, AND	(a) Minimum 200 gates in the last 5 (five) years ending 31.12.2010, AND

S.No.	Reference	As Existing	As revised now
		<p>(b) Minimum 100 gates of which MUST be operational in revenue service for at least 2 (two) years ending 31.03.2010, AND</p> <p>(c) Either at least one central clearing house commissioned and operational for a minimum period of (two) years ending 31.03.2010, or Integrated the Tenderer's AFC system with the central Clearing House system of another operator and the system is in successful operation for at least 2 (two) years ending 31.03.2010, AND</p> <p>(d) Should have experience in installation of contactless smart card based AFC system of either Type 'A' or type 'C' and should be in commercial operation for a minimum period of 2 (two) years ending 31.03.2010.</p>	<p>(b) Minimum 100 gates of which MUST be operational in revenue service for at least 2 (two) years ending 31.12.2010, AND</p> <p>(c) Either at least one central clearing house commissioned and operational for a minimum period of (two) years ending 31.12.2010, or Integrated the Tenderer's AFC system with the central Clearing House system of another operator and the system is in successful operation for at least 2 (two) years ending 31.12.2010, AND</p> <p>(d) Should have experience in installation of contactless smart card based AFC system of either Type 'A' or type 'C' and should be in commercial operation for a minimum period of 2 (two) years ending 31.12.2010.</p>

S.No.	Reference	As Existing	As revised now
3	Application form B,Para 5  (Page No. 6)	that this Joint Venture is constituted for the purpose of the execution of the _____ [name of the Project] under this Contract;	that this Joint Venture/Consortium is constituted for the purpose of the execution of the _____ [name of the Project] under this Contract;
4	Part II Evaluation Criteria, T <sub>6</sub> , Quality Assurance  (Page No. 25)	<p><b>T<sub>6</sub> Quality Assurance</b></p> <p>Quality of contractor's workmanship, process and output is of paramount importance for KMRCL. It is necessary that contractor possesses a QC programme ISO-9001 or equivalent and at the minimum follows an Internal written QA Programme.</p> <p>An Applicant will PASS if he or in case of JV/Consortium all the constituent members follows an Internal Quality Assurance Programme and have ISO-9001 certification or otherwise FAIL.</p>	<p><b>T<sub>6</sub> Quality Assurance</b></p> <p>Quality of contractor's workmanship, process and output is of paramount importance for KMRCL. It is necessary that contractor possesses a QC programme ISO-9001 or equivalent and at the minimum follows an Internal written QA Programme.</p> <p>An Applicant will PASS if he or in case of JV/Consortium all the constituent members follows an Internal Quality Assurance Programme and have ISO-9001 or equivalent certification or otherwise FAIL.</p>

S.No.	Reference	As Existing	As revised now
5	Part III- Prequalification Questionnaire, Proforma Section 6, Verification Statement, Item 29  (Page No.42)	Applicant and each member of the group (JV Consortium) shall verify, confirm & declare about bankruptcy/insolvency in last ten years i.e. after 31.03.2000.	Applicant ,individulay or in case of JV/Consortium each member of the group (JV Consortium) shall verify, confirm & declare about bankruptcy/insolvency in last five years i.e. after 31.12.2005.
6	Annexure 5: Financial Data (Page 52)	<ul style="list-style-type: none"> <li>▪ Annual financial Turnover in terms of INR equivalent, adjusted to 31.03.2010 (by assuming 10% escalation for Indian Rupee and 2% for foreign currency per year) pertaining to Design, Manufacture, Supply, Installation, Testing and Commissioning of AFC Works as stipulated herein.. Foreign currency exchange rate as on 31.03.2010 as published by RBI shall be considered for computing equivalent INR.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Annual financial Turnover in terms of INR equivalent, adjusted to 31.03.2010 (by assuming 10% escalation for Indian Rupee and 2% for foreign currency per year) pertaining to annual construction (and / or equipment, goods, or services which apply to this contract )Foreign currency exchange rate as on 31.03.2010 as published by RBI shall be considered for computing equivalent INR.</li> </ul>

S.No.	Reference	As Existing	As revised now
7	Para 10 .1 (Page No.19)	Pre-qualified tenderer's will be required to provide Tender Security in the form of a certified cheque, letter of credit, or a bank guarantee from a Scheduled Commercial bank of the Tenderer's choice located in India for an amount of INR 0.86 Crore or US\$0.18 million.. The successful Tenderer will be required to provide performance guarantee. Examples of acceptable forms will be supplied with the tender documents.	Pre-qualified tenderer will be required to provide Tender Security at the time of submission of main Tender in the form of a certified cheque, letter of credit, or a bank guarantee from a Scheduled Commercial bank of the Tenderer's choice located in India for an amount of INR 0.86 Crore or US\$0.18 million.. The successful Tenderer will be required to provide performance guarantee. Examples of acceptable forms will be supplied with the tender documents.
8	Pro-Forma Letter of Participation from each member of a group. Last Para  (Page No.31)	In the event of our group being invited to tender for CONTRACT-AFC, we agree to be jointly (with other members of our group) and severally liable to KMRCL , its successors and assigns for all obligations, duties and responsibilities arising from or imposed by any contract subsequently entered into between KMRCL and our group	In the event of our group being invited to tender for AFC-PQ (REV), we agree to be jointly (with other members of our group) and severally liable to KMRCL , its successors and assigns for all obligations, duties and responsibilities arising from or imposed by any contract subsequently entered into between KMRCL and our group

S.No.	Reference	As Existing	As revised now
9	Proforma- Section 1 Para 1 (Page No.32)	TITLE OF THE WORK: Pre-Qualification For <u>AFC TENDER PQ(REV)</u> FOR DESIGN, MANUFACTURE, SUPPLY, INSTALLATION, TESTING AND COMMISSIONING OF AUTOMATIC FARE COLLECTION (AFC) SYSTEM FOR KOLKATA METRO RAIL PROJECT	TITLE OF THE WORK: Pre-Qualification For <u>AFC-PQ(REV)</u> FOR DESIGN, MANUFACTURE, SUPPLY, INSTALLATION, TESTING AND COMMISSIONING OF AUTOMATIC FARE COLLECTION (AFC) SYSTEM FOR KOLKATA METRO RAIL PROJECT